

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
 (Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY- EIGHT HOURS** OF THIS BRIEFING.

Name: <i>Ben Mustian</i>	Date of Meeting: November 19, 2021
Occupation: <i>Attorney</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>SC ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Marcus Preston	Date of Meeting: November 19, 2021
Occupation: Director	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Duke Energy	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: <i>Michael Callahan</i>	Date of Meeting: November 19, 2021
Occupation: <i>SC State President</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Hope Adams	Date of Meeting: November 19, 2021
PSC Position Title  Administrative Coordinator	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

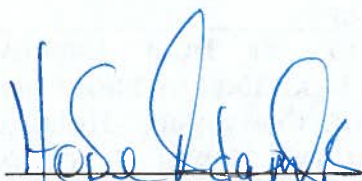
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A handwritten signature in blue ink, appearing to read "Hope Adams", is written over a horizontal line.

Signature of South Carolina Public Service  
Commissioner or Commission Employee

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Name: <u>Joe Biggs</u>	Date of Meeting: November 19, 2021
PSC Position Title <u>Security Specialist III</u>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

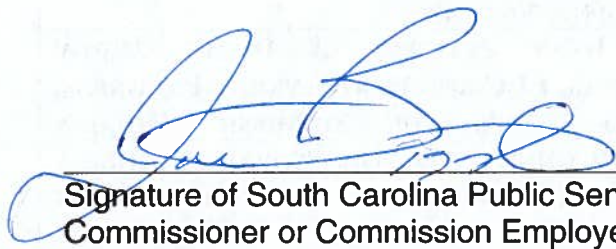
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Name: <i>Ben Garri's</i>	Date of Meeting: November 19, 2021
Occupation: <i>Public Utility Analyst - SC</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>SCCCL</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: <i>Donna Phaneq</i>	Date of Meeting: November 19, 2021
Occupation: <i>Attorney</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

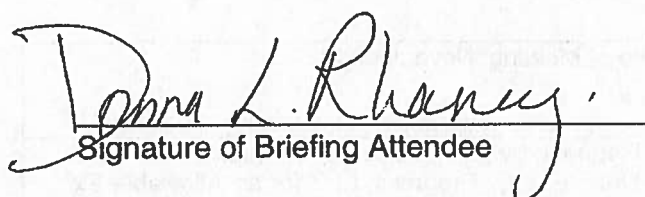
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Name: <i>Heather Shirley Smith</i>	Date of Meeting: November 19, 2021
Occupation: <i>VP Reg Affairs &amp; Policy</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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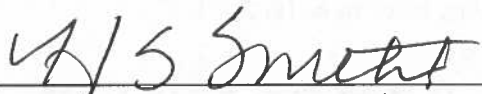
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Name: Jo Anne Wessinger Hill	Date of Meeting: November 19, 2021
PSC Position Title  General Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

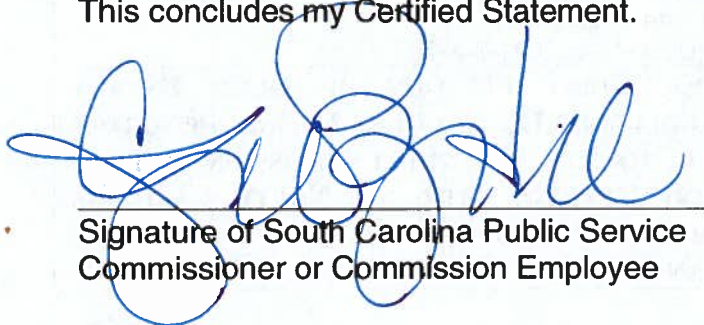
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Name: <i>Chris Koan</i>	Date of Meeting: November 19, 2021
Occupation: <i>attorney</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
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Name: Sharon Plyler Besley	Date of Meeting: November 19, 2021
PSC Position Title  Staff Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

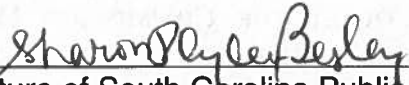
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This concludes my Certified Statement.

  
\_\_\_\_\_  
Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: November 19, 2021



**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY- EIGHT HOURS OF THIS BRIEFING.**

Name: Sandra V. Moser	Date of Meeting: November 19, 2021
PSC Position Title  Staff Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

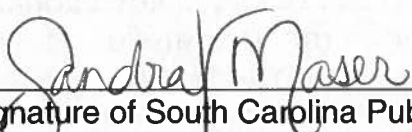
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Name: Randy Erskine	Date of Meeting: November 19, 2021
PSC Position Title  Information Technology Director	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>


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Name: Melissa Purvis	Date of Meeting: November 19, 2021
PSC Position Title	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

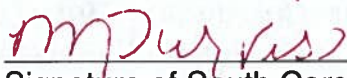
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Name: Jacqueline Thomas	Date of Meeting: November 19, 2021
PSC Position Title	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

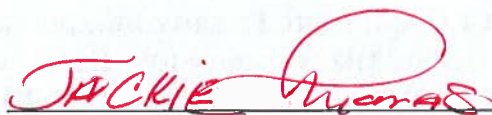
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Name: Patricia Stephens	Date of Meeting: November 19, 2021
PSC Position Title  Project Management Director	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

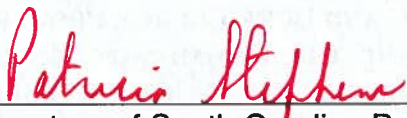
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Signature of South Carolina Public Service  
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Name: Gwendolyn Richardson	Date of Meeting: November 19, 2021
PSC Position Title	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
	<b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

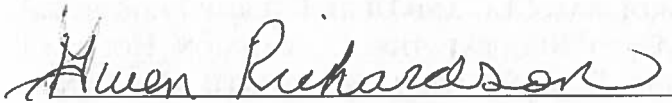
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This concludes my Certified Statement.

A handwritten signature in cursive script, reading "Allen Richardson", written in black ink.

Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: November 19, 2021

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Name: <b>FRANK Ellerbe</b>	Date of Meeting: November 19, 2021
Occupation: <b>Attorney</b>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <b>Duke</b>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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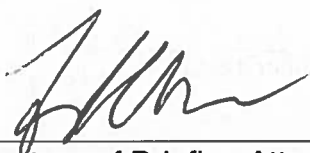
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Signature of Briefing Attendee

Date: November 19, 2021

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Name:	Date of Meeting: November 19, 2021
<i>Nanette Edwards</i>	
Occupation:	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

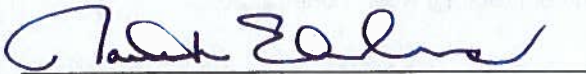
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A handwritten signature in blue ink, appearing to read "Chad E. [unclear]", written over a horizontal line.

Signature of Briefing Attendee

Date: November 19, 2021

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Name: Florence P. Belser	Date of Meeting: November 19, 2021
PSC Position Title  Commission Vice Chair	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

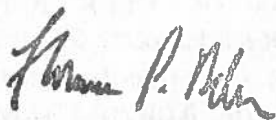
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Name: Carolyn L. Williams	Date of Meeting: November 19, 2021
PSC Position Title  Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

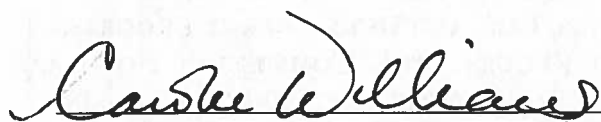
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This concludes my Certified Statement.

  
Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: November 19, 2021



**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Commissioner/Commission Employee)**

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY- EIGHT HOURS OF THIS BRIEFING.**

Name: F. David Butler	Date of Meeting: November 19, 2021
PSC Position Title  Special Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

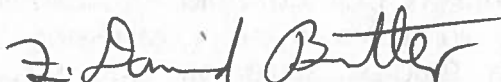
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Name: Justin T. Williams	Date of Meeting: November 19, 2021
PSC Position Title  Commission Chairman	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Thomas J. Ervin	Date of Meeting: November 19, 2021
PSC Position Title  Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

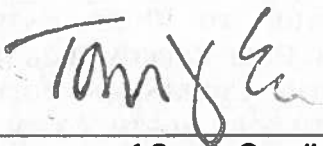
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Name: <i>To Wheat (virtually)</i>	Date of Meeting: November 19, 2021
Occupation: <i>Court Rptr - PSC</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>PSC</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: <i>Tim Watters</i>	Date of Meeting: November 19, 2021
Occupation: <i>Court Reporter</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Everglades, Inc.</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Stephen M. Caston	Date of Meeting: November 19, 2021
PSC Position Title  Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

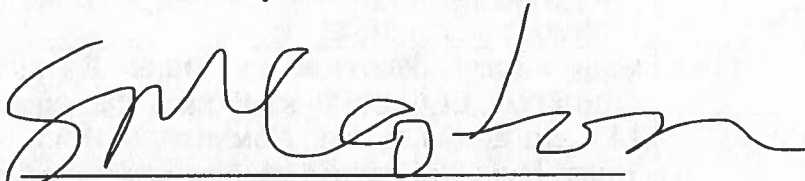
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Name: <i>Mark A. Surcek</i>	Date of Meeting: November 19, 2021
Occupation: <i>SI. VP</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Central Electric Power Co-op</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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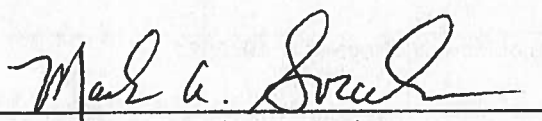
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Name: <i>Lyndsay McNeely</i>	Date of Meeting: November 19, 2021
Occupation:	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>Duke</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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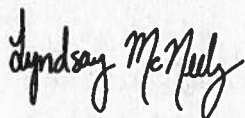
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Signature of Briefing Attendee

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<b>Name:</b> Warren Hicks	<b>Date of Meeting:</b> November 19, 2021
<b>Occupation:</b> Senior Staff Attorney, North Carolina Utilities Commission	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
<b>Attending on behalf of/for:</b> North Carolina Utilities Commission	<b>Matter:</b> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN

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*Warren Hicks*

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Name: <i>CHUCK CLAUNCH</i>	Date of Meeting: November 19, 2021
Occupation: <i>STATE GOVT. AFFAIRS</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>DUKE ENERGY SOUTH CAROLINA</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> <li>• <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i></li> </ul>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>ToNola D. Brown-Bland</i>	Date of Meeting: November 19, 2021
Occupation: <i>NC Utilities Commissioner</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>NC Utilities Commission</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
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• Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter
<i>Attended via Webex. Observed only. Did not otherwise participate.</i>
<i>No discussions involving me.</i>



3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

J. Ned D. Brun - Bland

Signature of Briefing Attendee

Date: November 19, 2021

Form #4  
**ALLOWABLE EX PARTE COMMUNICATION BRIEFING**  
**CERTIFIED STATEMENT**  
**(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, SUITE 900, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>Heather Anderson</i>	Date of Meeting: <i>11/19/21</i>
Occupation: <i>Attorney</i>	Matter: <i>Joint Hearing with DEC+DEP - NCUC</i>
Attending on behalf of/for: <i>SC Senate</i>	Docket No.: <i>2021-349-E</i>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
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<i>N/A</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.

Heather Andes  
Signature of Briefing Attendee

Date: 11/19/21

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name:  Dianna Downey	Date of Meeting: November 19, 2021
Occupation:  Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Public Staff - NC Utilities Commission	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

s/Dianna Downey

---

Signature of Briefing Attendee

Date: November 19, 2021



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Name: <i>Geoff Penland</i>	Date of Meeting: November 19, 2021
Occupation: <i>Director, Government Relations</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Santee Cooper</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

By signing this Certification, I certify that:

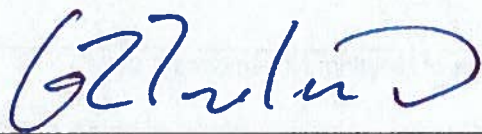
1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
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- |                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|                                                                                                                                                                                         |
|                                                                                                                                                                                         |

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This concludes my Certified Statement.

A handwritten signature in blue ink, appearing to be "G. Z. L. D.", written over a horizontal line.

Signature of Briefing Attendee

Date: November 19, 2021



**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name: <i>Ann S. Timberlake</i>	Date of Meeting: November 19, 2021
Occupation: <i>Consultant</i>	<ul style="list-style-type: none"><li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li><li>▪ (Docket No. 2021-349-E)</li></ul>
Attending on behalf of/for: <i>Timberlake Communications LLC</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*Duke Power's powerpoint presentation*



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**This concludes my Certified Statement.**



**Signature of Briefing Attendee**

**Date: November 19, 2021**

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Name: Samuel J. Wellborn	Date of Meeting: November 19, 2021
Occupation: Associate General Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Duke Energy Carolinas, LLC Duke Energy Progress, LLC	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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**This concludes my Certified Statement.**

  
\_\_\_\_\_  
**Signature of Briefing Attendee**

**Date: November 19, 2021**



**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name: Lucy Edmondson	Date of Meeting: November 19, 2021
Occupation: Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Public Staff- NC Utilities Commission	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Briefing by Duke Energy explaining the benefits it perceives if SCPUC grants its petition to hold joint proceedings with NCUC on Carbon Plan required by NC law.

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

This concludes my Certified Statement.

Lucy Edmondson  
Signature of Briefing Attendee

Date: November 19, 2021

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CERTIFIED STATEMENT  
(Attendee)**

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Leon T. Brunson</i>	Date of Meeting: November 19, 2021
Occupation: <i>Economist</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>N/A</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*

*N/A*

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

THIS CERTIFICATION IS TO:

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY- EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Heather Funnell</i>	Date of Meeting: November 19, 2021
Occupation: <i>Attorney</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>NCUC</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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This concludes my Certified Statement.



\_\_\_\_\_  
Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: Headen B. Thomas	Date of Meeting: November 19, 2021
PSC Position Title  Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul> <p><b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b></p>

By signing this Certification, I certify that:

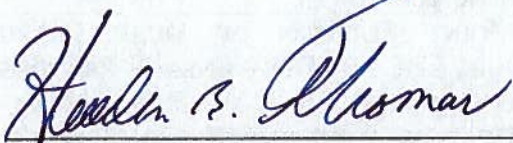
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- *Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter*
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.



Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name: Erin Duffy	Date of Meeting: November 19, 2021
Occupation: Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: North Carolina Utilities Commission	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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• <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i>
N/A. Attended in observational capacity.

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N/A. Attended in observational capacity.

This concludes my Certified Statement.

*Erin Duffy*

\_\_\_\_\_  
Signature of Briefing Attendee

Date: November 19, 2021



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Name:  Joan Williams	Date of Meeting: November 19, 2021
Occupation:  Paralegal	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  South Carolina Department of Consumer Affairs	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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This concludes my Certified Statement.

Joan Williams  
Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name: Kim Duffley	Date of Meeting: November 19, 2021
Occupation: Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  North Carolina Utilities Comm.	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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This concludes my Certified Statement.

s/ Kim Duffley

---

Signature of Briefing Attendee

Date: November 19, 2021

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Name: <i>Tracy S. DeMarco</i>	Date of Meeting: November 19, 2021
Occupation:	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>McGuireWoods LLP</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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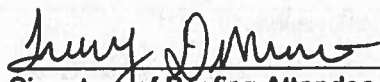
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Signature of Briefing Attendee

Date: November 19, 2021



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Name: Jordan Nader	Date of Meeting: November 19, 2021
Occupation: Public Utilities Engineer	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: The Public Staff of the Utilities Commission of North Carolina	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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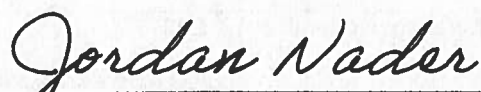
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Name: <i>James McLawhorn</i>	Date of Meeting: November 19, 2021
Occupation: <i>Director, Energy Division</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Public Staff - NC Utilities Commission</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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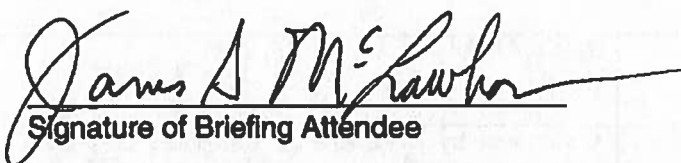
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Name: Sam Watson	Date of Meeting: November 19, 2021
Occupation: General Counsel, NCUC	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: North Carolina Utilities Commission	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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/s/ Sam Watson

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Signature of Briefing Attendee

Date: November 19, 2021



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Name: Layla Cummings	Date of Meeting: November 19, 2021
Occupation: Staff Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  NCUC- Public Staff	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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- |                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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s/Layla Cummings

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Signature of Briefing Attendee

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Name: <i>Jennifer Harrod</i>	Date of Meeting: November 19, 2021
Occupation: <i>lawyer</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Ne Utilities Commission</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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I was a silent observer of Duke Energy's presentation regarding its proposal for joint NC-SC hearings on a carbon plan. I presented no materials.

This concludes my Certified Statement.

  
Signature of Briefing Attendee

Date: November 19, 2021



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Name: <b>ELIZA MECAJ</b>	Date of Meeting: November 19, 2021
Occupation: <b>Paralegal</b>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <b>SC Dept. of Consumer Affairs</b>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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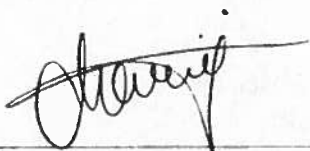
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Signature of Briefing Attendee

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Name: Megan Jost	Date of Meeting: November 19, 2021
Occupation: Staff Attorney	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Public Staff - NCUC	<b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*Megan Jost*

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Name: <i>Lara S. Nichols</i>	Date of Meeting: November 19, 2021
Occupation: <i>Attorney</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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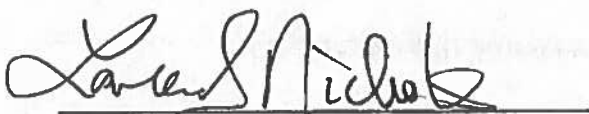
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Name: <i>Melissa Murphy</i>	Date of Meeting: November 19, 2021
Occupation: <i>Director, Stakeholder Engagement</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

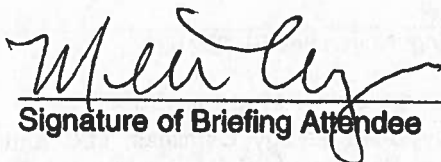
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Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

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Name: <i>Brett Breitschwerdt</i>	Date of Meeting: November 19, 2021
Occupation: <i>Attorney - McGuireWoods LLP NC Counsel to Duke Energy</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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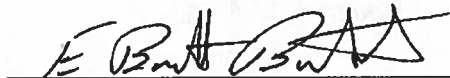
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Name: <i>Steve McDowell</i>	Date of Meeting: November 19, 2021
Occupation: <i>Financial Analyst</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>NC Utilities Commission Operations Staff</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*I have no notes, recording, or transcript from this presentation.*

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*Steve McDowell*

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Name: Camal O. Robinson	Date of Meeting: November 19, 2021
Occupation: Deputy General Counsel	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Duke Energy	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Poornima Jayasheela	Date of Meeting: November 19, 2021
Occupation: Manager - Operations	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  NCUC	<b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*Poornima J*

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Signature of Briefing Attendee

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Name: Nadia Luhr	Date of Meeting: November 19, 2021
Occupation: Staff Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Public Staff - North Carolina Utilities Commission	<b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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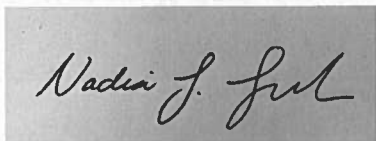
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<ul style="list-style-type: none"> <li>• <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i></li> </ul>
<i>Ex parte briefing by Duke Energy Carolinas and Duke Energy Progress on their petition for a joint hearing with the North Carolina Utilities Commission on the development of a Carbon Plan.</i>

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A rectangular box containing a handwritten signature in cursive script that reads "Nadin J. Felt".

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Name: <i>Michael Lavanga</i>	Date of Meeting: November 19, 2021
Occupation: <i>Lawyer</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Stone Matthews Xenopoulos + Brew</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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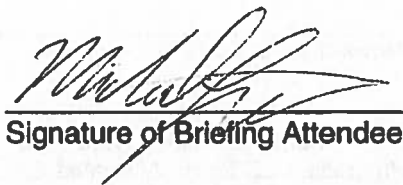
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Name: <i>Munashe Magorina</i>	Date of Meeting: November 19, 2021
Occupation: <i>Staff Attorney</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Public Staff - North Carolina Utilities Commission</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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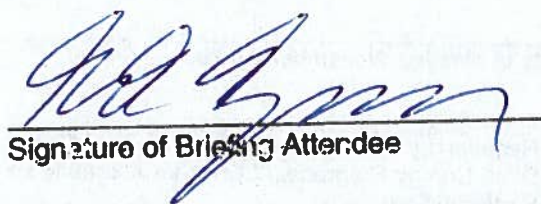
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Name: Shelby Green	Date of Meeting: November 19, 2021
Occupation: Researcher	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Energy and Policy Institute	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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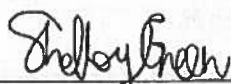
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Name: Emma Clancy	Date of Meeting: November 19, 2021
Occupation: Associate Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Southern Environmental Law Center	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: Kate Mixson	Date of Meeting: November 19, 2021
Occupation: Staff Attorney	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Southern Environmental Law Center	<b><u>Matter:</u> JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*Kate Minson*

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Name: Jalen Brooks-Knepfle	Date of Meeting: November 19, 2021
Occupation: Energy Project Manager	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: Conservation Voters of South Carolina	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Name: <i>John Brooker</i>	Date of Meeting: November 19, 2021
Occupation: <i>Energy Project Manager</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Conservation Voters of South Carolina</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> <li>• Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</li> </ul>
<i>Left <del>meeting</del> <sup>meeting</sup> after first minute or two - did not hear presentation / discussion</i>



3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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This concludes my Certified Statement.



\_\_\_\_\_  
Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY- EIGHT HOURS OF THIS BRIEFING.**

Name:  Hamilton Davis	Date of Meeting: November 19, 2021
Occupation:  VP Markets and Regulatory Affairs	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Southern Current LLC	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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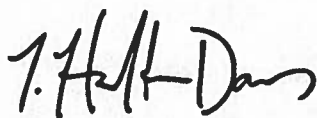
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Signature of Briefing Attendee

Date: November 19, 2021

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Name: Charlotte Mitchell	Date of Meeting: November 19, 2021
Occupation: Chair, NC Utilities Commission	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  NCUC	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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N/A. Participated in observational capacity only.

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Signature of Briefing Attendee

Date: November 19, 2021

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CERTIFIED STATEMENT  
 (Attendee)**

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Name:	Date of Meeting: November 19, 2021
R. Taylor Speer	
Occupation:	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attorney, Turner Padgett Graham and Paney, PA	
Attending on behalf of/for:	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>
Vote Solar	

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/s/ R. Taylor Speer, on behalf of Vote Solar

Signature of Briefing Attendee

Date: November 19, 2021

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CERTIFIED STATEMENT  
(Attendee)**

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Name: <i>Gutcher Pool</i>	Date of Meeting: November 19, 2021
Occupation: <i>Regulatory Analyst</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>OLS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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This concludes my Certified Statement.

Signed by Kusty Hiten on behalf of Gretchen Pool with  
Ms. Pool's expressed  
Consent. 11-22-2021

Gretchen Pool  
Signature of Briefing Attendee

Date: November 19, 2021

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CERTIFIED STATEMENT  
(Attendee)**

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Name: <i>ROBERT LAWYER</i>	Date of Meeting: November 19, 2021
Occupation: <i>DEPUTY DIRECTOR</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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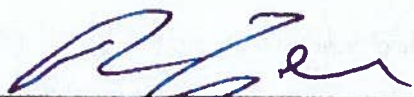
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- |                                                                                                                                                                                         |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
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|                                                                                                                                                                                         |
|                                                                                                                                                                                         |

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Signature of Briefing Attendee

Date: November 19, 2021

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Name: <i>Ryder Thompson</i>	Date of Meeting: November 19, 2021
Occupation: <i>Director - Utility Rates &amp; Services</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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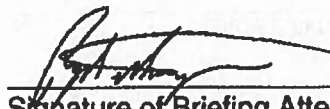
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This concludes my Certified Statement.



Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Commissioner/Commission Employee)**

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: Delton W. Powers, Jr.	Date of Meeting: November 19, 2021
PSC Position Title  Commissioner	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

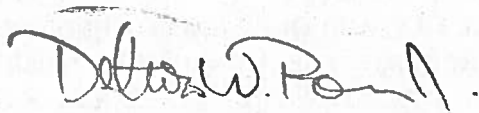
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4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.



Signature of South Carolina Public Service  
Commissioner or Commission Employee

Date: November 19, 2021

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CERTIFIED STATEMENT  
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Name: <i>Michael Seaman-Haynes</i>	Date of Meeting: November 19, 2021
Occupation: <i>Deputy Director, Energy Operations</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

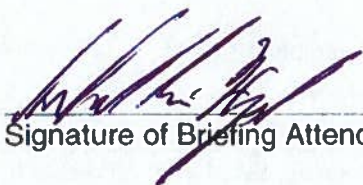
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Name: <i>Kristy Hoken</i>	Date of Meeting: November 19, 2021
Occupation: <i>Litigation Specialist</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>ORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Signature of Briefing Attendee

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Name: <b>ANTHONY SANDONATO</b>	Date of Meeting: November 19, 2021
Occupation: <b>SENIOR REGULATORY MANAGER</b>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <b>SC OFFICE OF REGULATORY STAFF</b>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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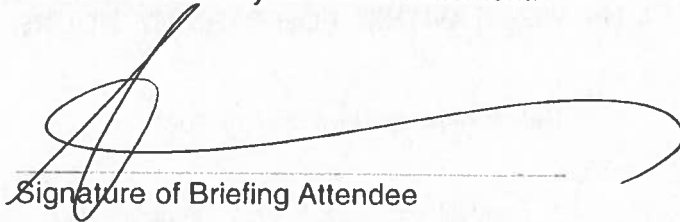
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Name: <i>Brittany Ward</i>	Date of Meeting: November 19, 2021
Occupation: <i>staff for SCORS</i>	<ul style="list-style-type: none"> <li>• Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>• (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>SCORS</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<ul style="list-style-type: none"> <li>• <i>Please reference transcript and/or audio room recording, together with presentation materials, as compiled by court reporter</i></li> </ul>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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This concludes my Certified Statement.



Signature of Briefing Attendee

Date: November 19, 2021

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING  
CERTIFIED STATEMENT  
(Attendee)**

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: Gudrun Thompson	Date of Meeting: November 19, 2021
Occupation: Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Southern Environmental Law Center	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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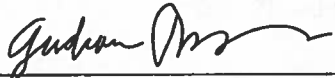
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Signature of Briefing Attendee

Date: November 19, 2021

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1401 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <b>Michelle Boswell</b>	Date of Meeting: November 19, 2021
Occupation:	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  <b>Public Staff - NCUC</b>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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This concludes my Certified Statement.

*Michelle Boswell*  
Signature of Briefing Attendee

Date: *11/23/21*

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CERTIFIED STATEMENT  
(Attendee)**

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Name: Floyd B. McKissick, Jr.	Date of Meeting: November 19, 2021
Occupation:  Commissioner/NCUC	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  NCUC North Carolina Utilities Commission	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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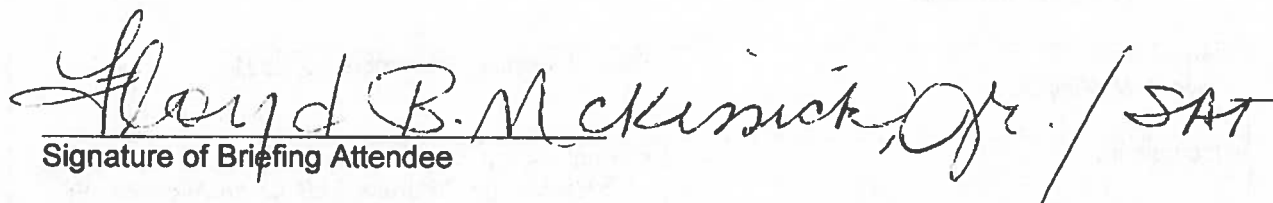
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Signature of Briefing Attendee

Date: 11/22/2021

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Name: Jeff Thomas	Date of Meeting: November 19, 2021
Occupation: Public Utilities Engineer	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: North Carolina Public Staff	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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Signature of Briefing Attendee

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Name: <i>Ryan Mosier</i>	Date of Meeting: November 19, 2021
Occupation: <i>Corporate Communications</i>	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for: <i>Duke Energy</i>	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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\_\_\_\_\_  
Signature of Briefing Attendee

Date: Nov. 20, 2021

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Name: Ben Snowden	Date of Meeting: November 19, 2021
Occupation: Attorney	<ul style="list-style-type: none"> <li>▪ Request by Duke Energy Carolinas, LLC and Duke Energy Progress, LLC for an Allowable Ex Parte Briefing</li> <li>▪ (Docket No. 2021-349-E)</li> </ul>
Attending on behalf of/for:  Clean Power Suppliers Association	<b>Matter: JOINT PETITION OF DUKE ENERGY CAROLINAS, LLC AND DUKE ENERGY PROGRESS, LLC TO REQUEST THE COMMISSION HOLD A JOINT HEARING WITH THE NORTH CAROLINA UTILITIES COMMISSION TO DEVELOP CARBON PLAN</b>

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*Bryan L. Snow*

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Signature of Briefing Attendee

Date: 11/23/2021\_\_\_\_\_